

Avantax Planning Partners SM
Client Relationship Summary | Effective January 4, 2021

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

For training and educational events that we provide to our financial professionals, we receive support from our nonaffiliated strategic partners, including your custodian and the sponsors of the mutual funds and ETFs used as investments for your portfolio, in the form of discounted or fee-waived services and/or non