

Avantax Wealth Management® is the holding company for the group of companies providing financial services under the Avantax® name. Securities offered through Avantax Investment Services ñ, Member FINRA, SIPC. Investment advisory services offered through Avantax Advisory Services ñ. Insurance services offered through licensed agents of Avantax Insurance Agency ñ and Avantax Insurance Services ñ

Important Information

Please note that the information included in this Disclosure is not intended to replace or modify any existing or prospective agreement relating to the Plan. This document is intended to provide you an overview of sources of “Direct” and “Indirect” Compensation” that can be received by Avantax in either its capacity as a broker-dealer (Avantax Investment Services) or in its capacity as a registered investment adviser (Avantax Advisory Services).

We appreciate your continued relationship with us and your trusted Financial Advisor.

