ERISA Section 408(b)(2) Disclosure
Retirement Management Solutions ("RMS")

This disclosure is intended to describe the Retirement Management Solutions ("RMS") platform and the status of Avantax Advisory Services[SM] ("AAS") as well as the direct and indirect compensation payable to the AAS for the plan-related services provided in accordance with ERISA Section 408(b)(2) and the related regulations thereunder

Name of Advis or

Name of investment adviser: Avantax Advisory Services ("AAS")
Your financial professional is an investment adviser representative (IAR) of AAS.

Description of Services

The Retirement Management Solutions ("RMS") platform is a managed account platform specifically designed for qualified plans including but not limited to, 401(k), profit sharing, safe harbor 401(k), defined benefit, defined contribution, Solo(k), 403(b), SEP and SIMPLE IRAs.

Status

AAS provides investment-related services to the Plan in its capacity as an investment adviser registered with the U.S. Securities and Exchange Commission (SEC) under the Investment Advisers Act of 1940, and as a fiduciary within the meaning of Section 3(21) under the Employee Retirement Income Security Act of 1974, as amended. When providing investment advisory services to ERISA retirement plans, your financial professional is a fiduciary as defined under Section 3(21)(A) of ERISA. AAS and your financial professional, in its capacity as a co-fiduciary with the Client, will only be responsible for another person's breach of its fiduciary duties if AAS or financial professional acts imprudently with respect to its specific fiduciary duties under this Agreement, and such imprudence enables such person to breach its duties.

AAS designs and manages its model portfolios under its RMS Select program ("RMS Select Model Portfolios") for use in the RMS Program. The RMS Select Model Portfolios are managed by AAS who in turn serves as an ERISA 3(38) Investment Manager. A fiduciary relationship is defined under Section 3(38) of ERISA regulations and is agreed to by both parties in writing.

Direct Compensation - (Payable to AAS)

The total ann