

This document (Notice) includes a description of the services that Avantax Investment ServicesSM (Firm) is providing to you with respect to your defined contribution retirement plan (Plan) and the compensation that we receive in connection with these services.

The Firm provides certain services on behalf of your Plan as described below in *Description of Services*. These services are provided by a registered representative of the Firm.

We provide investment-related consulting services and other related assistance (Investment-Related Services) that are intended to assist you with your duties in your capacity as the sponsor and fiduciary of your Plan. These services entail providing assistance with the establis (.)3.6 6 (at)3.61 (e) T14.868 0 Td[e]0.6 (s)-2 (e)6.1 (r)4.7 (v)-2 (i)7.4 (c)-2 (e)6.1 (s)-2 (,)



The amount of any commissions payable from the Issuer is typically described in the recordkeeping service agreement for the Plan and/or the prospectus and other disclosure documents provided by the Issuer relating to the Group Annuity. The Issuer has appointed the Firm in its capacity as a licensed insurance agency, and it has also appointed your registered representative to act as the Agent for the Issuer (as defined in the contract) and to provide the services described in the contract.



Please note that the information included in this Disclosure is not intended to replace or modify any existing or prospective agreement relating to the Plan. This document is intended to provide you an overview of sources of “Direct” and “Indirect”

